



# Operating System: Chap4 Multithreaded Programming

National Tsing-Hua University  
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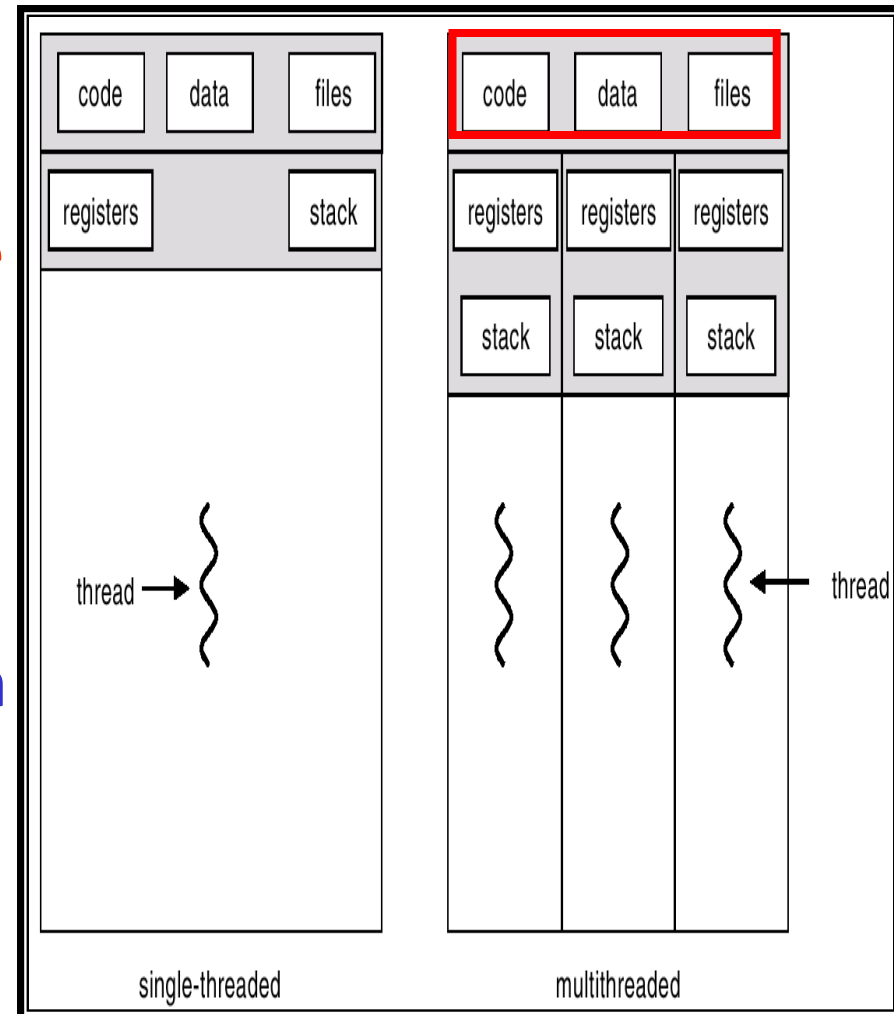


# Overview

- Thread Introduction
- Multithreading Models
- Threaded Case Study
- Threading Issues

# Threads

- A.k.a **lightweight process**: basic unit of CPU utilization
- All threads **belonging to the same process share**
  - **code** section, **data** section, and **OS resources** (e.g. open files and signals)
- But each thread has its own (**thread control block**)
  - **thread ID**, **program counter**, **register set**, and a **stack**



# Motivation

## ■ Example: a web browser

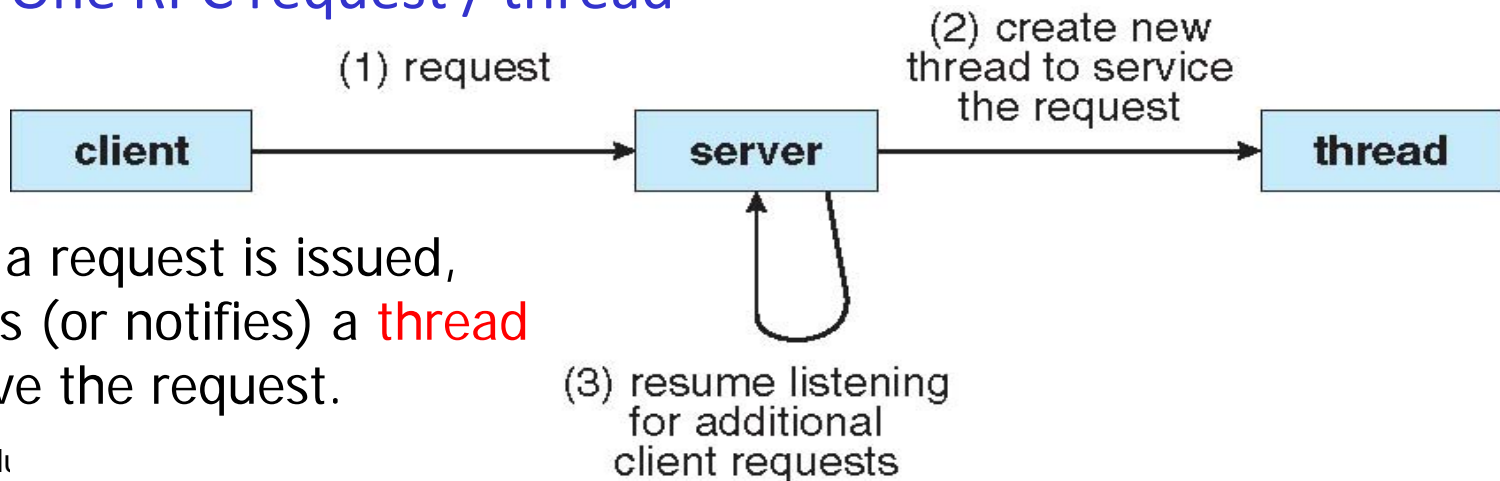
- One thread displays contents while the other thread receives data from network

## ■ Example: a web server

- One request / process: poor performance
- One request / thread: better performance as code and resource sharing

## ■ Example: RPC server

- One RPC request / thread



# Benefits of Multithreading

- **Responsiveness:** allow a program to continue running even if part of it is blocked or is performing a lengthy operation
- **Resource sharing:** several different threads of activity all within the same address space
- **Utilization of MP arch.:** Several thread may be running in parallel on different processors
- **Economy:** Allocating memory and resources for process creation is costly. In Solaris, creating a process is about 30 times slower than is creating a thread, and context switching is about five times slower. A register set switch is still required, but no memory-management related work is needed

# Why Thread?

## ■ Lower creation/management cost vs. Process

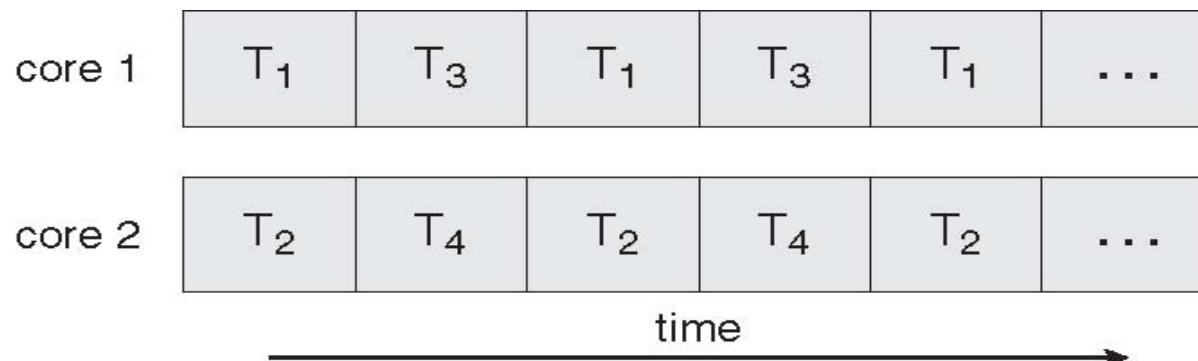
platform	fork()	pthread_create()	speedup
AMD 2.4 GHz Opteron	17.6	1.4	15.6x
IBM 1.5 GHz POWER4	104.5	2.1	49.8x
INTEL 2.4 GHz Xeon	54.9	1.6	34.3x
INTEL 1.4 GHz Itanium2	54.5	2.0	27.3x

## ■ Faster inter-process communication vs. MPI

platform	MPI Shared Memory BW (GB/sec)	Pthreads Worst Case Memory-to-CPU BW (GB/sec)	speedup
AMD 2.4 GHz Opteron	1.2	5.3	4.4x
IBM 1.5 GHz POWER4	2.1	4	1.9x
INTEL 2.4 GHz Xeon	0.3	4.3	14.3x
INTEL 1.4 GHz Itanium2	1.8	6.4	3.6x

# Multithreaded Programming

- Multithreaded programming provides a mechanism for more efficient use of multiple cores and improved concurrency (threads can run in parallel)
- Multicore systems putting pressure on system designers and application programmers
  - OS designers: scheduling algorithms use cores to allow the parallel execution



# Challenges in Multicore Programming

- **Dividing activities:** divide program into concurrent tasks
- **Balance:** evenly distribute tasks to cores
- **Data splitting:** divide data accessed and manipulated by the tasks
- **Data dependency:** synchronize data access
- **Testing and debugging**



# User vs. Kernel Threads

- User threads – thread management done by **user-level threads library**
  - POSIX Pthreads
  - Win32 threads
  - Java threads
- Kernel threads – supported by the **kernel (OS)** directly
  - Windows 2000 (NT)
  - Solaris
  - Linux
  - Tru64 UNIX

# User vs. Kernel Threads

## ■ User threads

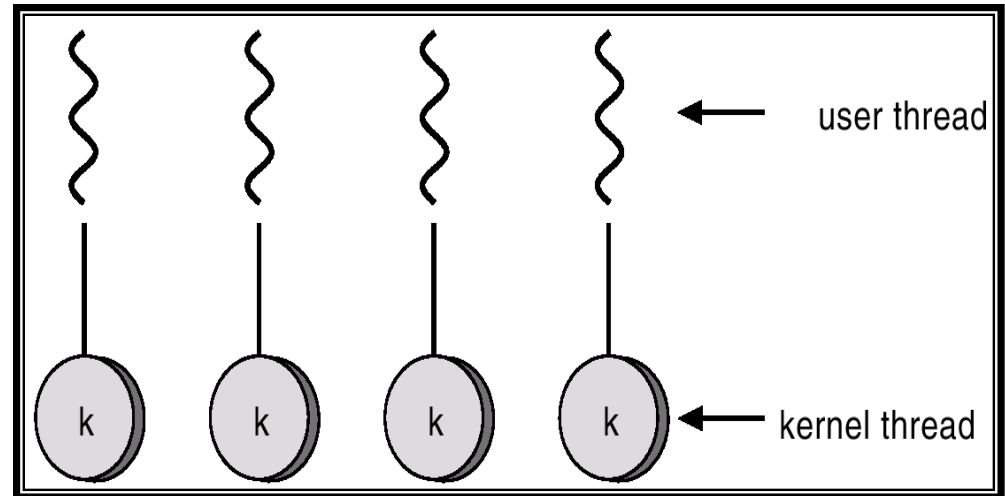
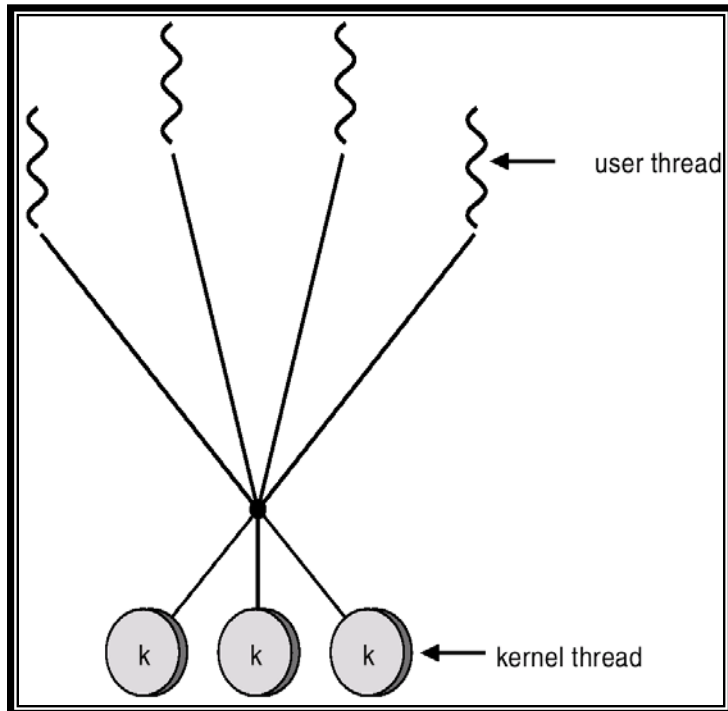
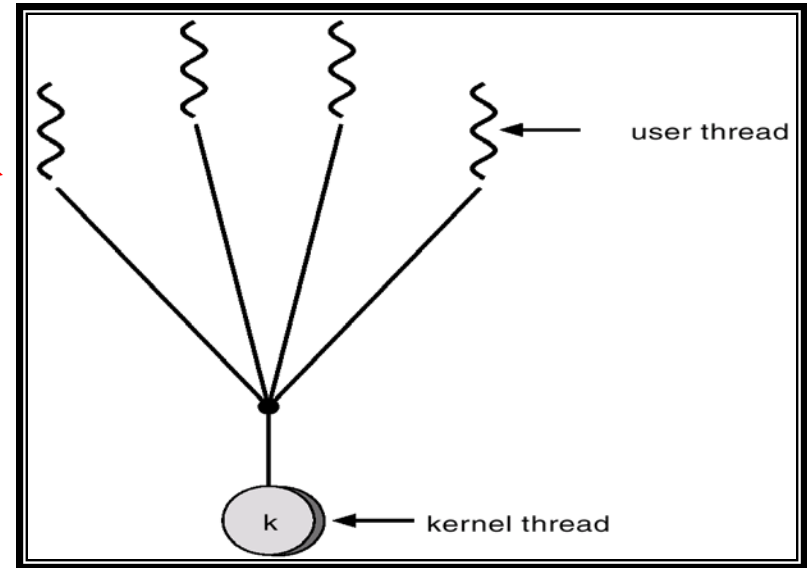
- **Thread library** provides support for thread creation, scheduling, and deletion
- Generally **fast** to create and manage
- If the kernel is single-threaded, a user-thread blocks → entire process blocks even if other threads are ready to run

## ■ Kernel threads

- The **kernel** performs thread creation, scheduling, etc.
- Generally **slower** to create and manage
- If a thread is blocked, the kernel can schedule another thread for execution

# Multithreading Models

- Many-to-One
- One-to-One
- Many-to-Many



# Many-to-One

- Many user-level threads mapped to single kernel thread
- Used on systems that do not support kernel threads
- Thread management is done in user space, so it is efficient
- ☹ The entire process will block if a thread makes a blocking system call
- ☹ Only one thread can access the kernel at a time, multiple threads are unable to run in parallel on multiprocessors

# One-to-one

- Each user-level thread maps to a kernel thread

- There could be a **limit on number of kernel threads**

😊 **More concurrency**

😞 **Overhead: Creating a thread requires creating the corresponding kernel thread**

- **Examples**

- Windows XP/NT/2000
  - Linux
  - Solaris 9 and later

# Many-to-Many

- **Multiplexes** many user-level threads to a smaller or equal number of kernel threads
- Allows the developer to create as many **user threads** as wished
- ☺ The corresponding kernel threads can run in parallel on a multiprocessor
- ☺ When a thread performs a blocking call, the kernel can **schedule** another thread for execution.

# Review Slides ( I )

- Process context swap? Thread context swap?
- Benefit of multithreading?
  - Responsive, Economy, resource utilization, resource sharing
- Challenges of multithreading programming?
- User threads & kernel threads? Differences?
- Threading model?
  - Many-to-one
  - One-to-one
  - Many-to-many

# Case Study

## ■ Thread libraries

- Pthreads

- Java threads

## ■ OS examples

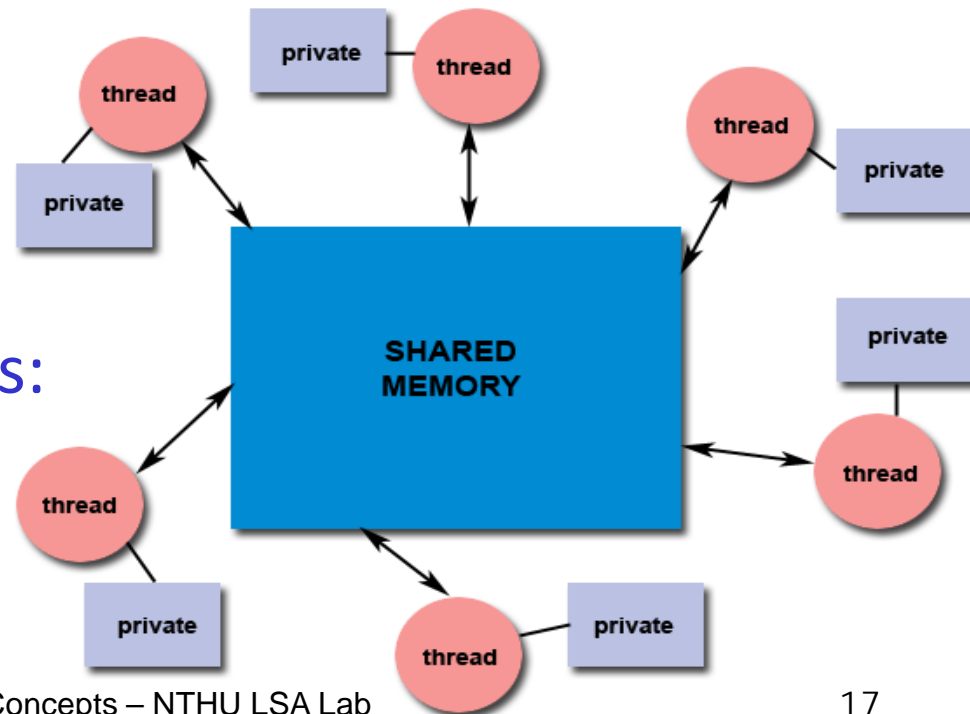
- WinXP

- Linux



# Shared-Memory Programming

- **Definition:** Processes communicate or work together with each other **through a shared memory space** which can be accessed by all processes
  - **Faster & more efficient than message passing**
- **Many issues as well:**
  - **Synchronization**
  - **Deadlock**
  - **Cache coherence**
- **Programming techniques:**
  - **Parallelizing compiler**
  - **Unix processes**
  - **Threads (**Pthread**, Java)**



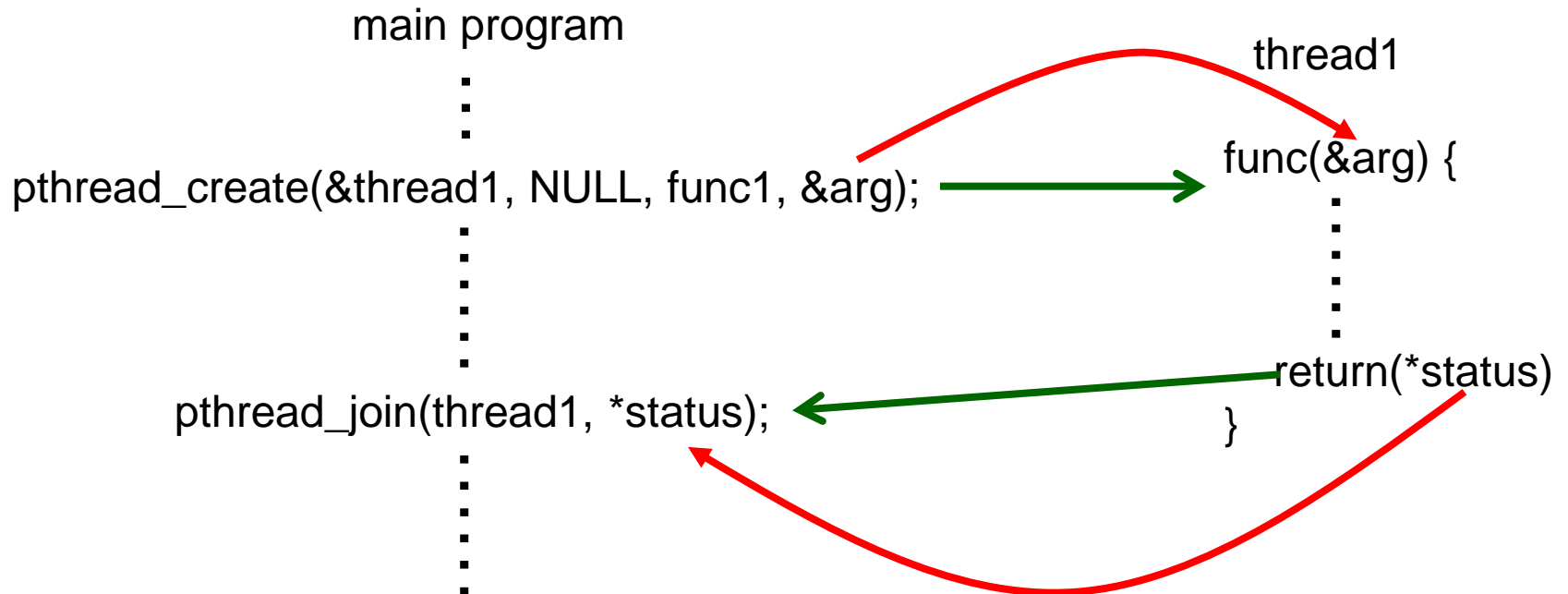
# What is Pthread?

- Historically, hardware vendors have implemented their own proprietary versions of threads
- **POSIX** (Potable Operating System Interface) standard is specified for portability across Unix-like systems
  - Similar concept as MPI for message passing libraries
- **Pthread** is the implementation of POSIX standard for thread

# Pthread Creation

## ■ pthread\_create(thread,attr,routine,arg)

- **thread**: An **unique identifier** (token) for the new thread
- **attr**: It is used to set **thread attributes**. NULL for the default values
- **routine**: The routine that the thread will execute once it is created
- **arg**: A **single argument** that may be **passed to routine**



# Example

```
#include <pthread.h>
#include <stdio.h>
#define NUM_THREADS 5

void *PrintHello(void *threadId) {
    long* data = static_cast<long*> threadId;
    printf("Hello World! It's me, thread #%ld!\n", *data);
    pthread_exit(NULL);
}

int main (int argc, char *argv[]) {
    pthread_t threads[NUM_THREADS];
    for(long tid=0; tid<NUM_THREADS; tid++){
        pthread_create(&threads[tid], NULL, PrintHello, (void *)&tid);
    }
    /* Last thing that main() should do */
    pthread_exit(NULL);
}
```

# Pthread Joining & Detaching

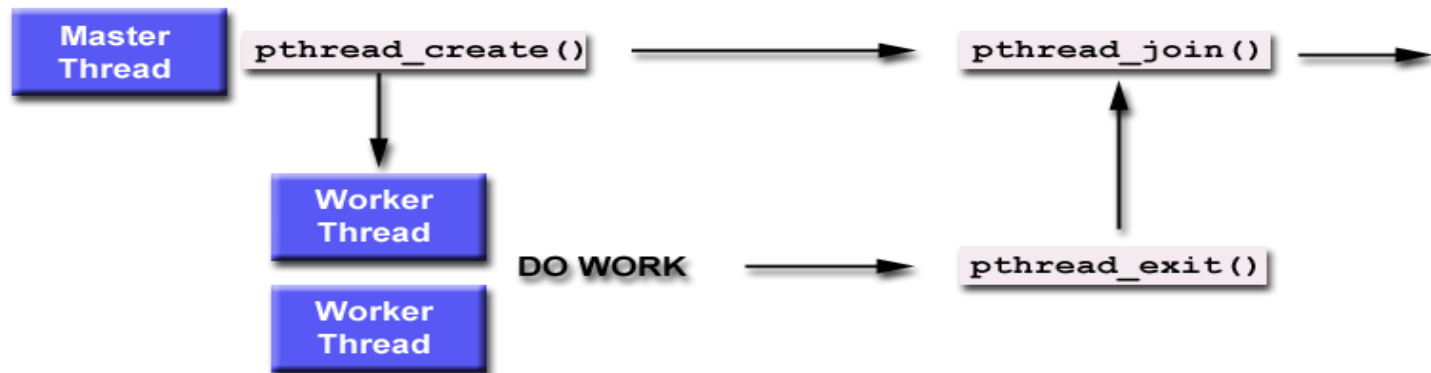
## ■ pthread\_join(threadId, status)

- Blocks until the specified *threadId* thread terminates
- One way to accomplish synchronization between threads
- Example: to create a pthread barrier

```
for (int i=0; i<n; i++) pthread_join(thread[i], NULL);
```

## ■ pthread\_detach(threadId)

- Once a thread is **detached**, it can **never** be joined
- Detach a thread could free some system resources



# Java Threads

- Thread is created by
  - Extending Thread class
  - Implementing the Runnable interface
- Java threads are implemented using a **thread library on the host system**
  - Win32 threads on Windows
  - Pthreads on UNIX-like system
- Thread mapping depends on implementation of the **JVM**
  - Windows 98/NT: one-on-one model
  - Solaris 2: many-to-many model

# Linux Threads

- Linux does **not** support multithreading
- Various **Pthreads** implementation are available for user-level
- The **fork** system call – create a new process and a copy of the associated data of the parent process
- The **clone** system call – create a new process and a link that points to the associated data of the parent process

# Linux Threads

- A set of flags is used in the **clone** call for indication of the level of the sharing
  - None of the flags is set → clone = fork
  - All flags are set → parent and child share everything

flag	meaning
CLONE_FS	File-system information is shared.
CLONE_VM	The same memory space is shared.
CLONE_SIGHAND	Signal handlers are shared.
CLONE_FILES	The set of open files is shared.

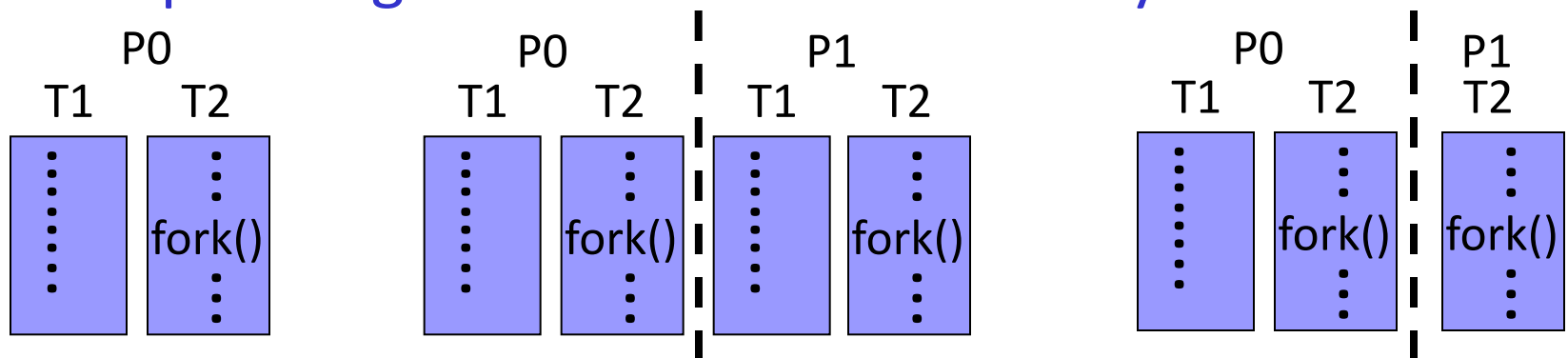


# Threading Issues

- Semantics of `fork()` and `exec()` system calls. Duplicate all the threads or not?
- Thread cancellation: Asynchronous or deferred
- Signal handling: Where then should a signal be delivered?
- Thread pools: Create a number of threads at process startup.
- Thread specific data: Each thread might need its own copy of certain data.
- Scheduler activations

# Semantics of fork() and exec()

- Does **fork()** duplicate only the calling thread or all threads?
  - Some UNIX system support two versions of **fork()**
- **exec()** works the same; **replace the entire process**
  - If **exec()** is called immediately after forking, then duplicating all threads is unnecessary



# Thread Cancellation

- What happen if a thread determinates before it has completed?
  - E.g, terminate web page loading
- **Target thread**: a thread that is to be cancelled
- Two general approaches:
  - **Asynchronous cancellation**
    - ◆ One thread terminates the target thread immediately
  - **Deferred cancellation (default option)**
    - ◆ The target thread periodically checks whether it should be terminated, allowing it an opportunity to terminate itself in an orderly fashion (canceled safely).
    - ◆ **Check at Cancellation points**

# Signal Handling

- Signals (**synchronous** or **asynchronous**) are used in UNIX systems to notify a process that an event has occurred
  - Synchronous: illegal memory access
  - Asynchronous: <control-C>
- A **signal handler** is used to process signals
  1. Signal is generated by particular event
  2. Signal is delivered to a process
  3. Signal is handled
- Options
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals for the process

# Thread Pools

- Create a number of threads in a pool where they await work
- Advantages
  - Usually slightly faster to service a request with an existing thread than create a new thread
  - Allows the number of threads in the application(s) to be bound to the size of the pool
- # of threads: # of CPUs, expected # of requests, amount of physical memory

# Reading Material & HW

- Chap 4

- Problems

- 4.2, 4.3, 4.10, 4.12, 4.13



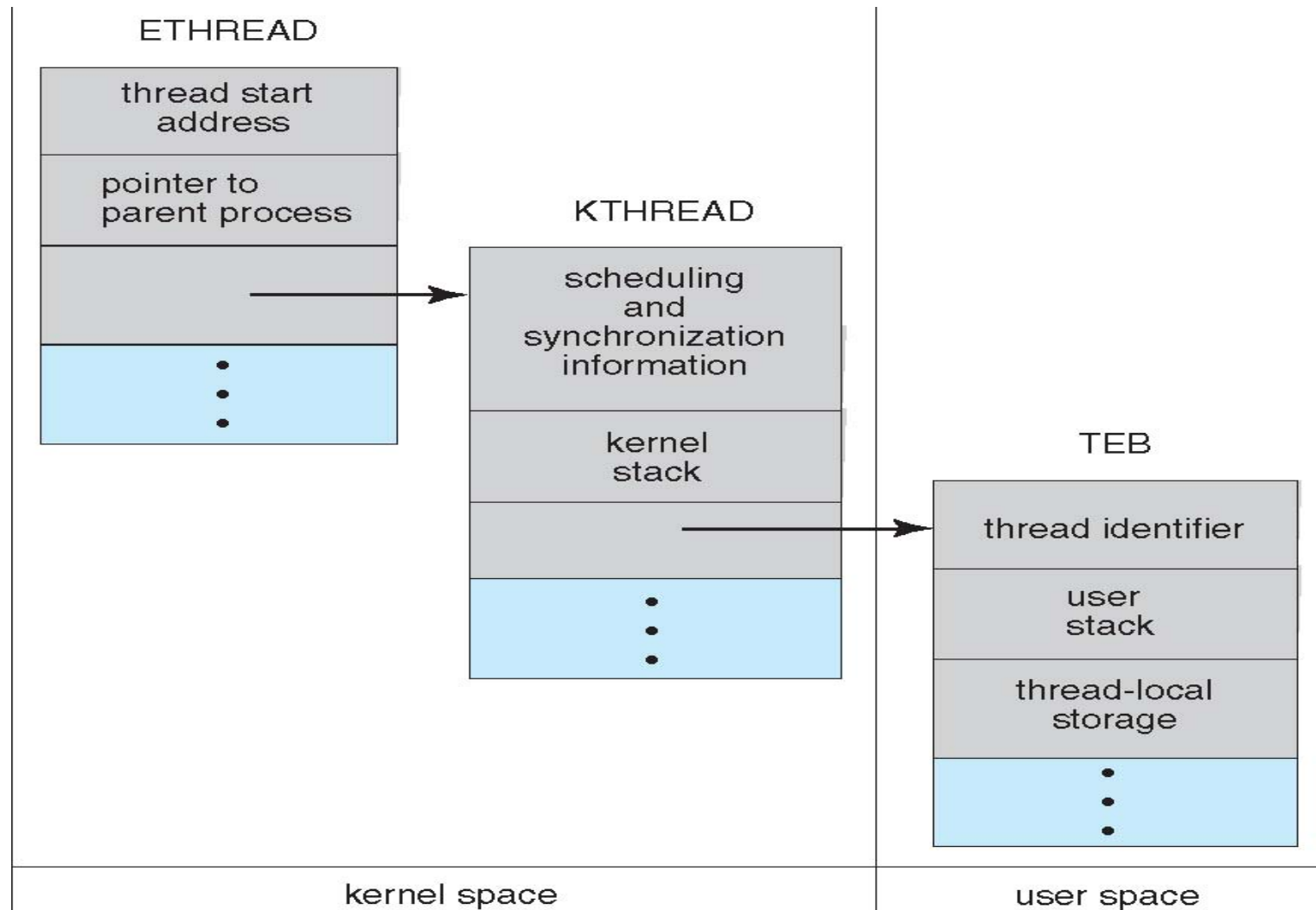
# Backup

# Windows XP Threads

- Implement the **one-to-one mapping**
- Each thread contains
  - A thread ID
  - Register set
  - Separate user and kernel stacks
  - Private data storage area
- The primary data structures of a thread include:
  - ETHREAD (executive thread block)
  - KTHREAD (kernel thread block)
  - TEB (thread environment block)
- Also provide support for a **fiber** library, that **provides the functionality of the many-to-many model**



# Windows XP Threads



# Thread Specific Data

- Allows each thread to have its own copy of data
  - Each transaction assigned a unique number in the transaction-processing system
- Useful when you do not have control over the thread creation process (i.e., when using a thread pool)

# Scheduler Activations

- Both M:M and Two-level models require communication to maintain the appropriate number of kernel threads allocated to the application
- Scheduler activations provide **upcalls** - a communication mechanism from the **kernel** to the **thread library**
- This communication allows an application to maintain the correct number kernel threads